



## Audit & Standards Committee Work Programme 2015/16

## Appendix 1

Category A = Statutory or other implied requirement

Category B = Topics decided by the Committee

Category C = Other

Category D = Training & Awareness

Meeting Date	Agenda Item	Category	Decision Item Yes/No	Lead Officer/s
23 June 2015	The role of the Audit & Standards Committee	D	No	Rachel Musson Abraham Ghebre-Ghiorghis
	Whistle-blowing Policy (check with Liz Culbert)	C	No	Abraham Ghebre-Ghiorghis
	Complaints Update	C	No	Brian Foley
	Audit and Standards Committee Work Programme 2015/16	C	Yes	Graham Liddell
	Targeted Budget Management (TBM) Provisional Outturn 2014/15	B	No	Nigel Manvell
	Review of the Effectiveness of Internal Audit 2014/15	A	No	Graham Liddell
	Draft Annual Governance Statement 2014/15	A	Yes	Rachel Musson Abraham Ghebre-Ghiorghis
	Ernst & Young: Audit Fee Letter 2014/15	A	No	Paul King and/or Simon Mathers
	Ernst & Young: Progress Report 2014/15	A	No	Paul King and/or Simon Mathers
	Ernst & Young: Local Government Sector Update Report	C	No	Paul King and/or Simon Mathers
	Audit & Standards Committee Annual Report 2014/15	A	Yes	Graham Liddell
	Internal Audit Annual Report and Opinion 2014/15	A	No	Graham Liddell
	Internal Audit Plan 2015/16 update	A	Yes	Graham Liddell
	Strategic Risk Register Update	A and D	No	Jackie Algar
	Strategic Risk Map Focus - SR2 Financial Outlook - SR18 Effective Use of Technology	A	No	Jackie Algar Rachel Musson Mark Watson
	Corporate Governance Code			Abraham Ghebre-



## Audit & Standards Committee Work Programme 2015/16

## Appendix 1

Category A = Statutory or other implied requirement

Category B = Topics decided by the Committee

Category C = Other

Category D = Training & Awareness

Meeting Date	Agenda Item	Category	Decision Item Yes/No	Lead Officer/s
				Ghiorghis
<b>22 September 2015</b>	Complaints Update	C	No	Brian Foley
	Ernst & Young: Progress Report 2015/16	A	No	Paul King and/or Simon Mathers
	Ernst & Young: Audit Results Report 2014/15	A	Yes	Paul King and/or Simon Mathers
	Statement of Accounts 2014/15	A	Yes	Nigel Manvell & Jane Strudwick
	Targeted Budget Management (TBM) 2015/16	B	No	Nigel Manvell
	Internal Audit and Corporate Fraud Progress Report 2015/16	A	No	Graham Liddell
	Strategic Risk Map Focus - SR19 Impact of the Care Act Phase 1/ Implementation Phase 2 - SR20 Better Care Fund - SR13 Keeping Vulnerable Adults safe from harm and abuse	A	No	Jackie Algar Denise D'Souza
<b>17 November 2015</b>	The role of the Audit & Standards Committee revisited	D	No	Rachel Musson Abraham Ghebre- Ghiorghis
	Complaints Update	C	No	Brian Foley
	Review of Audit & Standards Committee	C	Yes	Graham Liddell
	Ernst & Young: Progress Report 2015/16	A	No	Paul King and/or Simon Mathers
	Ernst & Young: Annual Audit Letter 2014/15	A	No	Nigel Manvell
	Internal Audit and Corporate Fraud Progress Report 2015/16	A	No	Graham Liddell
	Targeted Budget Management (TBM) 2015/16	B	No	Nigel Manvell



## Audit & Standards Committee Work Programme 2015/16

## Appendix 1

Category A = Statutory or other implied requirement

Category B = Topics decided by the Committee

Category C = Other

Category D = Training & Awareness

Meeting Date	Agenda Item	Category	Decision Item Yes/No	Lead Officer/s
	Strategic Risk Register Review & Risk MAP updates	A	No	Jackie Algar
	Strategic Risk Map Focus <ul style="list-style-type: none"> <li>- SR23 Developing an investment strategy to refurbish and develop the city's major asset of the seafront SR21 Housing Pressures</li> <li>- SR 8 Becoming a more sustainable city</li> </ul>	A	No	Jackie Algar  Paula Murray/ Geoff Raw  Geoff Raw
<b>12 January 2016</b>	Complaints Update	C	No	Brian Foley
	Annual Governance Statement 2014/15 - Action Plan Progress Update	A	No	Jackie Algar
	Treasury Management Policy Statement 2015/16 (including Annual Investment Strategy 2015/16) – Mid-year review	A	No	Mark Ireland
	Ernst & Young: Annual Certification Report 2014/15	A	No	Paul King and/or Simon Mathers
	Ernst & Young: Progress Report 2015/16	A	No	Paul King and/or Simon Mathers
	Internal Audit and Corporate Fraud Progress Report 2015/16	A	No	Graham Liddell
	Targeted Budget Management (TBM)	B	No	Nigel Manvell
	Strategic Risk Map Focus <ul style="list-style-type: none"> <li>- SR22 Modernising the Council</li> <li>- SR10 Information Governance Management</li> <li>- SR24 Welfare Reform post-election 2015</li> </ul>	A	No	Jackie Algar  Penelope Thompson



## Audit & Standards Committee Work Programme 2015/16

## Appendix 1

Category A = Statutory or other implied requirement

Category B = Topics decided by the Committee

Category C = Other

Category D = Training & Awareness

Meeting Date	Agenda Item	Category	Decision Item Yes/No	Lead Officer/s
				Rachel Musson Abraham Ghebre- Ghiorghis
<b>8 March 2016</b>	Complaints Update	C	No	Brian Foley
	Ernst & Young: External Audit Plan 2016/17	A	No	Paul King and/or Simon Mathers
	Ernst & Young: Audit Fee Letter 2015/16	A	No	Paul King and/or Simon Mathers
	Internal Audit and Corporate Fraud Strategy and Annual Plan 2016/17	A	No	Graham Liddell
	Risk Management Strategy Annual Progress Report 2015	B	No	Jackie Algar
	Strategic Risk Map Focus - SR17 School Places Planning - SR15 Keeping children safe from harm and abuse	A	No	Jackie Algar Pinaki Ghoshal